

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: CHRISTOPH HECKENKAMP

SERIAL NO.: 09/982,194

FILED: May 28, 2002

FOR: DATA CARRIER HAVING AN OPTICALLY VARIABLE ELEMENT AND METHODS FOR PRODUCING IT

GROUP ART UNIT: 2872

EXAMINER: J. Henry

ATTY. REFERENCE: HECK3001C/JEK/BEU

THE COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith is a communication/amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

The fee, if any, has been calculated as shown below:

Fee Basis	Number of Claims After Amendment	Highest Number Previously Paid For	Extra Claims	Small Entity	Full Fee
Total Claims		¹	³	× \$ 9 =	× \$ 18 =
Independent Claims		²	³	× \$ 40 =	× \$ 80 =
<input type="checkbox"/> First Presentation of Proper Multiple Dependent Claim				+ \$135 =	+ \$270 =
TOTAL					

¹ If less than 20 enter 20.

² If less than 3 enter 3.

³ If less than 0 enter 0.

- ☐ Please charge my Deposit Account Number 02-0200 in the amount of \$ _____. A duplicate copy of this sheet is attached.
- ☐ A check in the amount of \$ _____ is attached.
- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication, including fees due under 37 CFR 1.16 and 37 CFR 1.17 or credit any overpayment to Deposit Account Number 02-0200. A duplicate copy of this sheet is attached.
- ☒ Also enclosed is/are: PTO 1449 with 15 refs.
Petition for extension of time (one month)
Check \$110

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DATE: December 18, 2002

Respectfully submitted,

BENJAMIN E. URCIA

Attorney for Applicant

Registration Number: 33,805



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of:

Christoph HECKENKAMP *et al.*

Serial Number: 10/982,194

Filed: October 19, 2001

Group Art Unit: 2872

Examiner: F. Assaf

For: Data Carrier Having An Optically Variable Element And Methods For Producing It

AMENDMENT AND RESPONSE

Honorable Commissioner For Patents
Washington, D.C. 20231

Sir:

This paper is in response to the Official Action dated August 19, 2002. A petition for a one-month extension of time together with the appropriate fees accompanies this response so that it is timely filed. Kindly amend the application in accordance with the following particulars:

IN THE CLAIMS:

Please cancel claim 19, without prejudice or disclaimer.

Please amend claims 8 and 20, as indicated by the clean copy of amended claim 1 in Appendix A, attached hereto. A marked-up copy of the amended claims is included in Appendix B, also attached hereto.

Please add claim 77, also as presented in Appendix A.

REMARKS

Reconsideration of the application is respectfully requested for the following reasons:

1. Information Disclosure Statement

It appears that copies of several of the references cited in the Information Disclosure Statement submitted on October 19, 2001, became disassociated with the file after submission.

PATENT
8/18
1-14-03
F. Assaf

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DEC 20 2002
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